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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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|--|--|--|----------------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> | | Attorney Docket No. SUN-P5775CNT | |
| | | First Inventor Martin Matula | |
| | | Title Apparatus for Dynamic... | |
| | | Express Mail Label No. EL839725974US | |
| APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i> | | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 | |
| 1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i> 3. <input checked="" type="checkbox"/> Specification [Total Pages 53] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 22] | | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | |
| 5. Oath or Declaration [Total Pages 4] <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 17 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | | 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies | |
| ACCOMPANYING APPLICATION PARTS <ul style="list-style-type: none"> 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of <i>(when there is an assignee)</i> <input checked="" type="checkbox"/> Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input checked="" type="checkbox"/> Preliminary Amendment ✓ 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Other: | | | |
| 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: <u>not yet assigned</u> Prior application information: Examiner _____ filed on <u>May 1, 2001</u> <i>Group / Art Unit:</i> _____ | | | |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | |
| 18. CORRESPONDENCE ADDRESS | | | |
| <input type="checkbox"/> Customer Number or Bar Code Label | | <input type="checkbox"/> Correspondence address below <small>(Insert Customer No. or Attach Bar Code Label here)</small> | |
| Name <u>David B. Ritchie</u> <u>Thelen Reid & Priest LLP</u> | | | |
| Address <u>P. O. Box 640640</u> | | | |
| City <u>San Jose</u> | | State <u>CA</u> | Zip Code <u>95164-0640</u> |
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| Name (Print/Type) <u>Marc S. Hanish</u> | | Registration No. (Attorney/Agent) <u>42,626</u> | |
| Signature <u>Marc S. Hanish</u> | | Date <u>5/2/01</u> | |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Matula et al.
SERIAL NO.: (not yet assigned)
FILING DATE: May 2, 2001
TITLE: APPARATUS FOR DYNAMIC IMPLEMENTATION OF
JAVA™ METADATA INTERFACES
EXAMINER: [not yet assigned]
ART UNIT: [not yet assigned]

CERTIFICATE OF MAILING

"Express Mail" mailing label no.: EL839725974US

Date of Deposit: May 2, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and addressed to: Box PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231, on the date printed below:

Name: Diane Morse
Diane Morse

**BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

CONTINUING APPLICATION TRANSMITTAL LETTER
37 C.F.R. § 1.53(b)

This is a request for filing under the Continuing Application Procedure, 37 C.F.R. § 1.53(b); for a continuation application of prior application Serial No. _____, filed on May 1, 2001 naming inventors Martin Matula and Petr Hrebejk, filed on behalf of Sun Microsystems, Inc. entitled, "METHOD FOR DYNAMIC IMPLEMENTATION OF JAVA™ METADATA INTERFACES", including Utility Patent Application Transmittal, 28 pages of specification, 24 pages of claims, 22 sheets of drawing figures, and 1 page of

Abstract. Also enclosed herewith are the copies of the Assignment, combined Declaration & Power of Attorney from the parent case, and Preliminary Amendment.

The attorney's Docket Number of this application is SUN-P5775CNT.

Please address all communications regarding this application to:

David B. Ritchie
Thelen Reid & Priest LLP
P.O. Box 640640
San Jose, California 95164-0640
Telephone (408) 292-5800

The Commissioner is hereby authorized to charge our Deposit Account No. 50-1698 in the amount of \$1,536.00 for the filing fee for a large entity, calculated after the entry of the Preliminary Amendment filed herewith, as follows:

| | |
|---|------------------|
| Basic Filing Fee: | \$ 710.00 |
| 2 Additional Independent Claims: | \$ 160.00 |
| 37 Additional Dependent Claims over Twenty: | <u>\$ 666.00</u> |
| Total Filing Fee: | \$1,536.00 |

In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, the Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 50-1698. A duplicate of this page is enclosed.

Respectfully submitted,
THELEN REID & PRIEST LLP



Marc S. Hanish
Reg. No. 42,626

Dated: May 2, 2001

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